

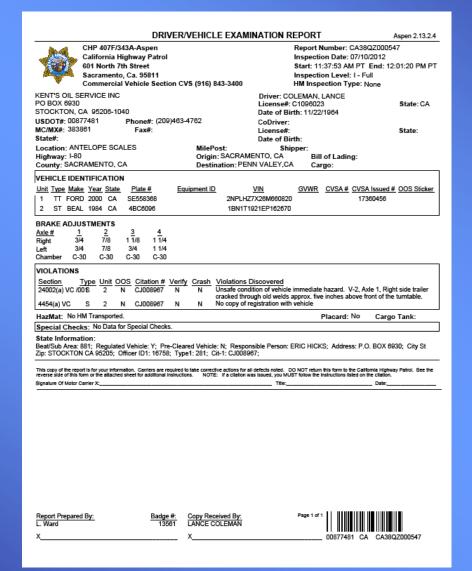
Life Cycle of an Inspection

Lt. Ken Roberts, CVS Susan Y. Morales-Cerritos, FMCSA





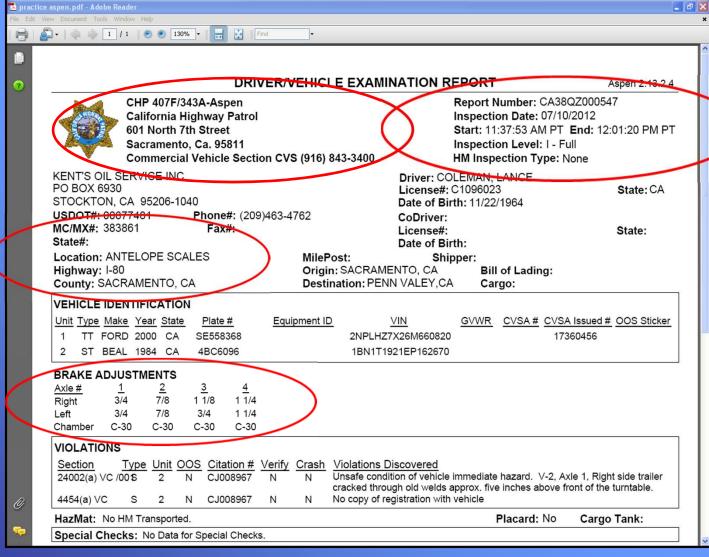






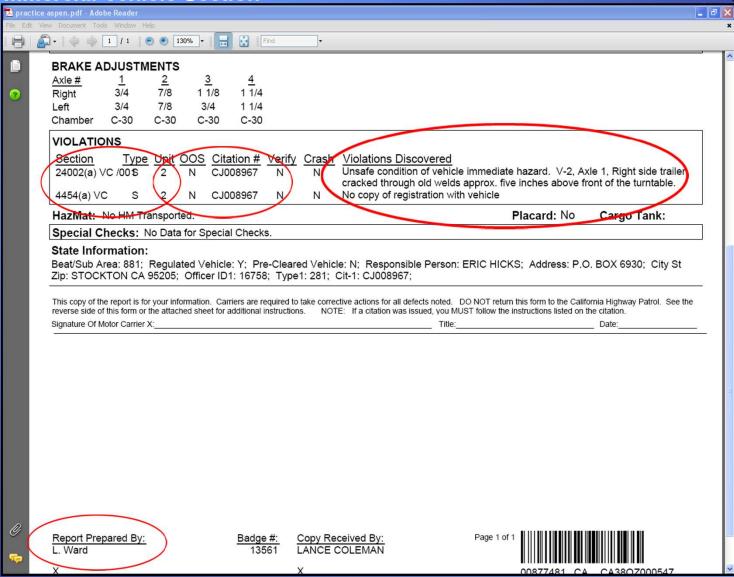






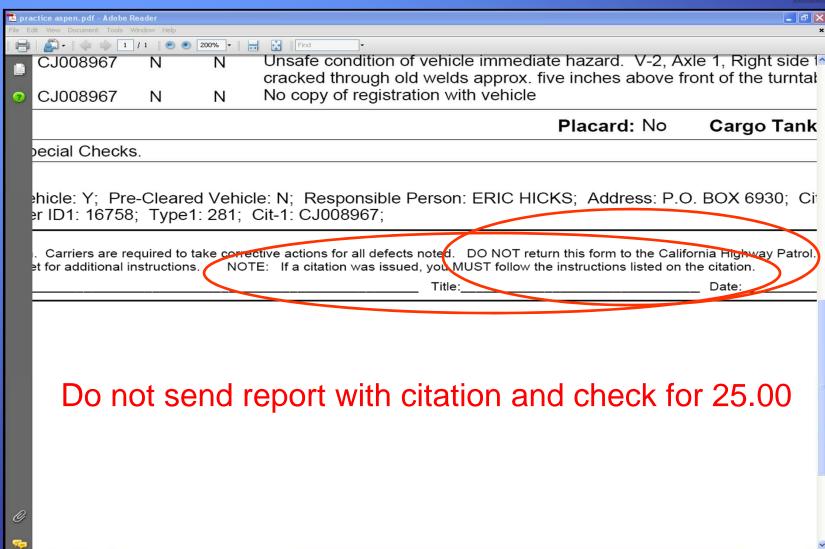










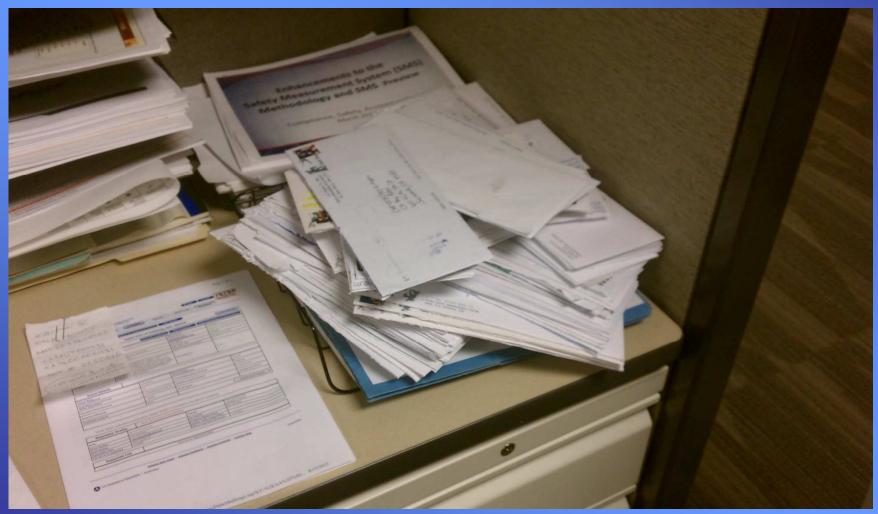




Commercial Vehicle Section



One week of mail





Commercial Vehicle Section



NOTICE TO APPEAR CHP 215

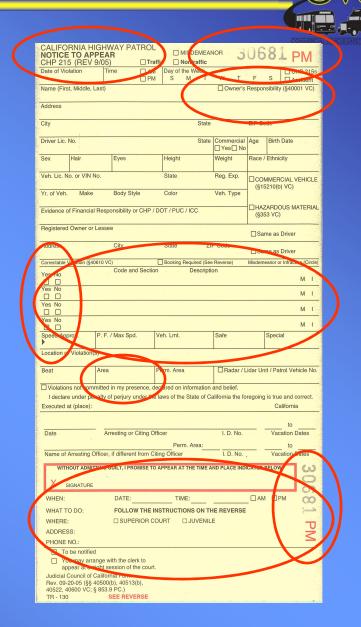
- Original / Court Copy (pink)
- Driver or Owner Copy (Yellow)

CALIFOR NOTICE CHP 215	TO APP	HWAY PATRO EAR (05)	L ☐ MISDI		NOR 3	06	81 _{PM}		
Date of Viol		Time A		eek T	W T	F	S CHP 215s		
Name (First	, Middle, La				Owner's	Respor	nsibility (§40001 VC)		
Address	Address								
City				State		ZIP Co	ode		
Driver Lic. N	lo.			State	Commercial Yes No	Age	Birth Date		
Sex	Hair	Eyes	Height		Weight	Race /	Ethnicity		
Veh. Lic, No. or VIN No. State Reg. Exp. ☐COMMERCIAL VEHICLE									
Yr. of Veh.	Make	Body Style	Color		Veh. Type	(§15	5210(b) VC)		
Evidence of	Financial R	esponsibility or CHP	/ DOT / PUC / ICC)			ZARDOUS MATERIAL 53 VC)		
Registered	Owner or Le	essee				Sar	ne as Driver		
Address		City	State	ZIF	Code	□Sar	me as Driver		
Correctable Vi	olation (§4061	10 VC)	Booking Requir	ed (See	Reverse)	Misdeme	eanor or Infraction (Circle)		
Yes No		Code and Secti	on De	scriptio	on				
Yes No							M I.		
Yes No							M I		
Yes No							M I		
Speed Appr	ox. P	. F. / Max Spd.	Veh. Lmt.		Safe	1	Special		
Location of	Violation(s)		1						
Beat	A	rea	Perm. Area		□Radar/L	idar Un	it / Patrol Vehicle No.		
☐ Violations	not commit	tted in my presence,	declared on inform	nation a	and belief.				
	under pena	lty of perjury under th				regoing	is true and correct. California		
	4								
Date		Arresting or Citing (Officer		I. D. No.	-	to Vacation Dates		
Name of A	reacting Offi	cer, if different from 0	Perm. Are	ea:	I. D. No.		to		
			ŭ				Vacation Dates		
WITHO	OUT ADMITTI	NG GUILT, I PROMISE T	O APPEAR AT THE	TIME AI	ND PLACE INDI	CATED E	BELOW.		
X sic	GNATURE						98		
WHEN:		DATE:	TIME:			_ AM	□PM 00		
WHAT TO	DO:		INSTRUCTIONS				—		
WHERE:	S:	□ SUPERIOR (COURT DUV	/ENILE			P		
PHONE N									
	e notified	so with the clark *-	1861 65 5488	556555		65 M M 5 P **	I SHIBBI I		
app Judicial Co Rev. 09-20	ear at a nigh ouncil of Cal	ge with the clerk to nt session of the cour lifornia Form 500(b), 40513(b), i53.9 PC.) SEE REVERSE	t. 3	0 (6 8 1	Δ.	M		

Commercial Vehicle Section

NOTICE TO APPEAR CHP 215

- Court Document
- Every Citation is Numbered
- Owners Responsibility
- Correctable / Non-Correctable
- Driving or Equipment Violations
- Area Number
- Court Information



<mark>C</mark>alifornia Highway Patrol

Commercial Vehicle Section



NOTICE TO APPEAR **CHP 215**

Pay the Fine **Appear in Court** Correct the Violation

Trial by Written Declaration

Courtesy Notice

IMPORTANT - READ CAREFULLY

WARNING: If you fail to appear in court as you have promised, you may be arrested and punishe by 6 MONTHS IN JAIL AND/OR A \$1,000 FINE regardless of the disposition of the original charge (\$46580 Veh. Code [VC] or \$653.7 Penal Code [PC]). In addition, any person who fails to appear as provided by law may be deemed to have elected to have a trial by written declaration (in absentia) and the second of the provided by the arresting-right provided by the arresting-righting and the second of the second of

JUVENILE: If you were under age 18 at the time the citation was issued, you must appear in court with

COURTESY NOTICE: A couriesy notice may be mailed to the address shown on your citation, indicating the required deposit of money (bail) that may be forfeited instead of your appearing in court. If you do not receive such couriesy notice, you are still required to comply with the items below by the appearance date.

WHAT TO DO

You are required to appear at court for a misdemeanor violation. For all violations, your court date/ time/place are on the front of this notice to appear. Have the citation with you when contacting the court. In all infraction cases, you must do one or more of the following for each violation:

- Pay the fine (hail) Appear in court.
- Correct the violation (traffic cases, when applicable). Request traffic school (traffic cases, when applicable).
- Contest the violation.
- Request trial by written declaration (traffic cases).
- If you do not do one of the above actions, then a "failure to appear" charge will be filed against you (§40508(a) VC) and your driver license may be withheld, suspended, or revoked. In some courts you may be charged an amount in addition to the ball amount and the case may be turned over to a collection agency (§1214.1 PC).
- 1. If you do NOT contest the violation:
- a. (Pay the ball amount) Contact the court for ball information. You will not have to appear in court. You will be convicted of the violation, and it will appear on your record at the Department of Motor Vehicles (DMN). A point court may be charged to your DMN record and your insurance may be adversely
- b. (Traffic school) You may be able to avoid the point count by completing traffic school. You must pay the bail amount as a fee, and you may have to pay other fees. Contact the court to request traffic school.
- c. (Correctable violations) If the "Yes" box is checked on the front of your ticket, the violation is c. (Correctable Violations) if the "res" box is checked on the front of your ticket, the violation is correctable. Upon correctable. Upon correctable it have a law enforcement officer or an authorized inspection/installation station agent sign below (§40616 VC). Registration and driver license violations may also be certified as corrected at an office of the DMV or by any clerk or deputy clerk of a court. The violation will be dismissed by the court after PROOF OF CORRECTION and payment of a transaction fee are presented to the court by mail or in person by the appearance date. Violations of section 16028 VC (automobile liability insurance) will be dismissed only upon (1) your showing or mailing to the court diverse of the production of the power of the production of the production of the court of the production of the produc evidence of financial responsibility valid at the time this notice to appear was issued, and (2) your

CERTIFICATE OF CORRECTION (MUST BE RETURNED TO COURT)									
SECTION(S) VIOLATED	SIGNATURE OF PERSON CERTIFYING CORRECTION	ID OR ARD LICENSE #	AGENCY OR CERTIFIED INSPECTION STATION	DATE CLEARED					
				,					

2. If you contest the violation (select a or b):

 a. (Court trial) Send a certified or registered letter postmarked not later than five days prior to the
appearance date, or come to the court by the appearance date to request a court trial on a future date when an officer and any witnesses will be present. You will be required to submit the bail amount.

b. (Trial by written declaration (traffic infractions)) Send a certified or registered letter postmarked not Let that by written becaration (ranner intractions)) send a certified or registered letter postmarked not later than five days prior to the appearance date to request a trial by written declaration. Submit the ball amount, You will be given forms to allow you to write a statement and to submit other evidence without appearing in court. An officer will also submit a statement. The judicial officer will consider the evidence and decide the case.

statement. The judicial oridorer will consider the evidence and decide the case.

3. Make check/money order payable to Clerk of the Court. White your citation number and driver license number on your check or money order. You may pay in person or by mail.

4. If "Booking Required" is checked you must appear for booking on a weekday prior to your court date. Booking instructions are provided on the CHP 194, Fingerprint Notification/Verification form. Bring the signed verification to your court appearance, BOOKING VERIFICATION; I declare under the penalty of perjury under the laws of the State of California that

Defendant's Name	was booked on	Date	Officer	





Commercial Vehicle Section



NOTICE TO CORRECT CHP 281

Not a Court Document (CHP ONLY)

No Fee

Must be Returned Within 30 Days

Also Numbered

Date of Violatio	/. 01-02) ⁶	T	ime /	□ AM	Day of Week		
				□ PM	S IVI		FS
Name (First, iv	nache, Land)			□ Owr	ner's Responsibili	ity (Veh. Code	e, § 40001)
Mailing Addres	is						
City				State		ZIP Code	
Driver Lic. No.					State	Age	Birth Date
Sex	Hair	Eyes	Height		Weight	Race/E	thnicity
Veh. Lic. No.		1			State		
Yr. of Veh.	Make	Body St	tyle	Color	Veh. Typ		mercial Vehicl Code, § 15210(b
Veh. Lic. No.	-				State	☐ Haz:	ardous Materia n. Code, § 353
Yr. of Veh.	Make	Body S	tyle	Color	Veh. Typ	oe .	
Evidence of Fi	nancial Resp	onsibility or C	HP/PUC/I	ICC			
Registered Owner or Leasee Same as Driver							
Address	(City	7 11.1	State	ZIP Co	de 🗌 Sam	ne as Driver
Address Violation(s)	(nd Section	State		de Sam	ne as Driver
	(nd Section	State		LJ our	ne as Driver
	(nd Section	State		LJ our	ne as Driver
	(nd Section	State		LJ our	ne as Driver
	(nd Section	State		LJ our	ne as Driver
			nd Section	State		LJ our	ne as Driver
			nd Section	State		LJ our	ne as Driver
	Area		Beat	State		scription	ne as Driver
Violation(s)	Area			State	De	scription	
Violation(s) A.I. Location of Violation of	Area plation(s) at	Code ar	Beat		De Special	scription	215 Issued
Violation(s) A.I. Location of Violation of	Area plation(s) at	Code ar	Beat		De	scription	215 Issued
Violation(s) A.I. Location of Violation of	Area olation(s) at der penalty of the control of th	Code ar	Beat		De Special	CHP	215 Issued
Violation(s) A.I. Location of Violation of	Area olation(s) at der penalty of the control of th	Code ar	Beat	s of the	Special State of Californ	CHP	215 Issued oing is true au Californi
Violation(s) A.I. Location of Violation of	Area Area der penalty cuted at:	Code ar	Beat der the law	s of the	Special State of Californ France AND PROVI	CHP Vacatic From: DE PROOF C	215 Issued oing is true an California To:
Violation(s) A.I. Location of Violation of	Area Area der penalty cuted at:	Code ar	Beat der the law	s of the	Special State of Californ	CHP Vacatic From: DE PROOF C	215 Issued oing is true an California To:
Violation(s) A.I. Location of Violation of	Area Dilation(s) at der penalty of the control of t	Code ar	Beat der the law	s of the	Special State of Californ France AND PROVI	CHP Vacatic From: DE PROOF C	215 Issued oing is true an California To:
Violation(s) A.I. Location of Vi I declare un correct. Exec Citing Officer	Area Dilation(s) at der penalty of the control of t	Code ar	Beat der the law	s of the	Special State of Californ France AND PROVI	CHP Vacatic From: DE PROOF C	215 Issued oing is true an California To:
Violation(s) A.I. Location of Vi I declare un correct. Exec Citing Officer	Area Olation(s) at der penalty o uuted at: O CORRECT N TO THE IS	Code ar	Beat der the law TION(S) LIS	s of the	Special State of Californ France AND PROVI	CHP Vacatic DE PROOF C DE ADAY	215 Issued oing is true au Californi no Tates To:
Violation(s) A.I. Location of Violation of	Area Diation(s) at der penalty outed at: O CORRECT TO THE IS TURE N: COR	Code ar The VIOLAT SUING AGER RECT VIOLAGIOUT CORRE	Beat Jer the law TION(S) LIS NCY AS IN TION(S) IN	s of the	Special State of Californ Framework State of Californ Framework DVE AND PROVI ED BELOW WIT	CHP Vacatic DE PROOF C DE ADAY	215 Issued oing is true au Californi no Tates To:



NOTICE TO CORRECT CHP 281

Must be signed by law enforcement or a certified inspection station

Unreturned 281s may become notices to appear (CHP 215)

Many 281 are signed - off and returned prior to leaving the facility

INSTRUCTIONS TO DRIVER-OWNER

WHAT TO DO

METHODS FOR OBTAINING CERTIFICATION OF CORRECTION (VEH. CODE, § 40616):

This Notice to Correct Violation may be cleared upon correction of the violation by providing satisfactory proof of correction within 30 days of this notice, as specified below, either in person at the issuing agency's office at the address indicated below, during normal business hours or by mail postmarked within 30 days of this notice to the issuing agency's address indicated below.

Violations may be certified as corrected on this form (as indicated below) in the following manner: Brake, lamp, smog device, or mullifler violations may be certified as corrected by any station licensed to inspect an

Driver license and registration violations may be certified as corrected at offices of the DMV by an appropriate employee thereof, or by any clerk or deputy clerk of a court

Any violation may be certified as corrected by a law enforcement agency regularly engaged in the enforcement of the California Vehicle Code.

DO NOT STOP AN OFFICER ON ANY FREEWAY, EXPRESSWAY OR BRIDGE FOR CERTIFICATION OF CORRECTION.

NOTE: INSPECTION STATIONS MUST LIST THEIR ARD LICENSE NUMBER ISSUED BY THE BUREAU OF AUTOMOTIVE REPAIR IN THE SPACE PROVIDED BELOW

WARNING: Any person willfully violating a written promise to correct or willfully failing to deliver proof of correction is guilty of a misdemeanor (Veh. Code, § 40616), which may lead to arrest, penalty and additional fees. In addition, the Department of Motor Vehicles will WTHHOLD the issuance or renewal of your driver license, and may revoke or suspend your driving privilege for Vehicle Code offenses. YOU MUST RETURN THE COMPLETED CERTIFICATE OF CORRECTION TO THE ISSUING AGENCY.

CERTIFIC	CATION OF CORRECTION	N (RETURN	TO THE ISSUING AGE	NCY)
SECTION(S) VIOLATED	SIGNATURE OF PERSON CERTIFYING CORRECTION	ID OR ARD LICENSE NO.	AGENCY OR CERTIFIED INSPECTION STATION	DATE CLEARED

CALIFORNIA HIGHWAY PATROL

POSTMASTER: If undeliverable return to name and address on reverse

FIRST CLAS POSTAGE REQUIRED





Internet Websites

California Uniform Bail Schedule

Look for county specific schedule

California Courts Locater

- www.courts.ca.gov
- www.courtinfo.ca.gov/courts/find.htm





Common Questions about 407

A 407 is not a citation

Do not mail a copy of the inspection back to the CHP, it is for your company's records

Contact scale facility or CHP Division where the inspection was conducted for any specific questions





CHP 407 QUESTIONS CONTINUED

CHP 215s (Notice To Appear) are processed through the county court system. The CHP does not set the fine amounts.

CHP 281s (Notice to Correct) are written forms the CHP uses to track and correct specific violations. The 281 is handled by the CHP at the scale facility or the office it was issued from. *





Questions???



California Highway Patrol
Commercial Vehicle Section

Lieutenant Ken Roberts, CVS



Continuation.... Lifecycle of Inspection in FMCSA/USDOT

Ontario, CA September 18th 2012

What is our MISSION?

- The primary mission of the Federal Motor Carrier Safety Administration (FMCSA) is to reduce crashes, injuries and fatalities involving large trucks and buses.
- AND...

My job

Keep us all inform of what we can all do to make sure the Mission becomes everyone's Mission!







AVOID!! AT ALL COST!!!









How federal databases track the information and how the data is used?

The Roadside Inspection Violation Lifecycle

From its discovery during a roadside inspection;



Example: brake

Roadside Inspection

DRIVER/VEHICLE EXAMINATION REPORT

Query Central 3.1.3

State: TN

State:

Tennessee Department of Safety Commercial Vehicle Division

1150 Foster Avenue Nashville, TN 37243

Phone: (615)743-4990 Fax: (615)253-2278

Report Number: TN2012001234567 Inspection Date: 12/12/2011

Start: 1:30 PM ET End: 02:17 PM ET

Inspection Level: | - Full **HM Inspection Type:** None

DENNIS THE MENISE

5685 BLUE SPRINGS ROAD SWEETWATER, TN 37874

USDOT#: 0654321

Phone#: (865)213-4247 Fax#:

MC/MX#: 123456 State#:

Driver: DOE, JOE

License#: 123456789

Date of Birth: 11/06/1962

CoDriver:

License#:

Date of Birth:

Location: THP 1 I-40 KNOXVILLE SCALES

Highway: I-40 Knoxville Scales

County: KNOX, TN

MilePost: 372

Shipper:

Origin: DANDRIDGE, TN Bill of Lading: Destination: SWEETWATER, TN Cargo: EMPTY

VEHICLE IDENTIFICATION

Unit	Туре	Make	Year	State	Plate #	Equipment ID	Vin #	GVWR	CVSA#	New CVSA #	OOS#
1	TT	PTRB	1998	TN	R365HZ	21	1XP5DB9X6WN454060	52000			
2	ST	TRLK	2006	TN	U244897	21	1TKFA392X6B083407	65000			

Once roadside inspections are Uploaded to SafetyNet...

- What is safetynet? Where is safetynet?
 - Safetynet is a tracking system in FMCSA/USDOT where all inspections are stored for a 24 month period.
 - The data is distribute to several system where we/you will be able to view carriers information to help and do our part in accomplishing the mission of the agency "SAFE OUR FAMILY"



SAFETY EVALUATION



Unit

SAFETY FITNESS DETERMINATION

Proposed rule

INTERVENTION

MEASUREMENT On-Road Safety Performance

Early Contact

- Warning Letter
- Carrier Access to Safety Data and Measurement
- · Targeted Roadside Inspection

Investigation

- Offsite
- Onsite Focused
- · Onsite Comprehensive

Follow-on

- Cooperative Safety Plan
- Notice of Violation
- Notice of Claim
- · Operations Out-of-Service Order

UNFIT SUSPENSION

1. Safety Measurement System (SMS)

SMS is FMCSA's workload prioritization tool that:

- Uses State-reported crash records, all roadside inspection safety-based violations and certain violations found during inspections to identify carriers for interventions
 - Previous system used only out-of-service and certain moving violations
- Uses 24 months of data; recent events are weighted more heavily than older ones
- Assigns severity weights to violations based on relationship to crash risk

Elevated Importance of the Roadside Inspection Violations

- New SMS was designed to elevate the importance of all roadside inspection results good and bad
- Motor carrier and public attention to what is being documented on every single inspection is clearly at an all time high
- SMS public website received over 25 million "hits" from enforcement, motor carriers, shippers, insurers and general public in first year

Behavior Analysis Safety Improvement Categories (BASICs)

- Unsafe Driving (Parts 392 & 397)
- Fatigued Driving (Hours-of-Service) (Parts 392 & 395)
- Driver Fitness (Parts 383 & 391)
- Controlled Substances/Alcohol (Parts 382 & 392)
- Vehicle Maintenance (Parts 393 & 396)
- Cargo-Related (Parts 392, 393, 397 & HM)
- Crash Indicator

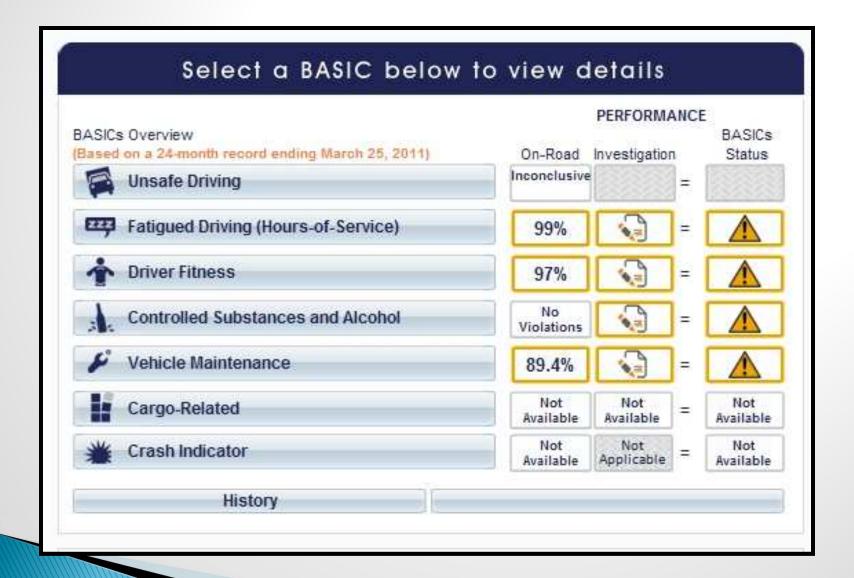
All Violations Count

- Roadside inspections are conducted in accordance with the North American Standard Driver/Vehicle Inspection Levels.
- This means that roadside inspections and results, GOOD and BAD are more important than ever and have a greater impact on roadway safety.

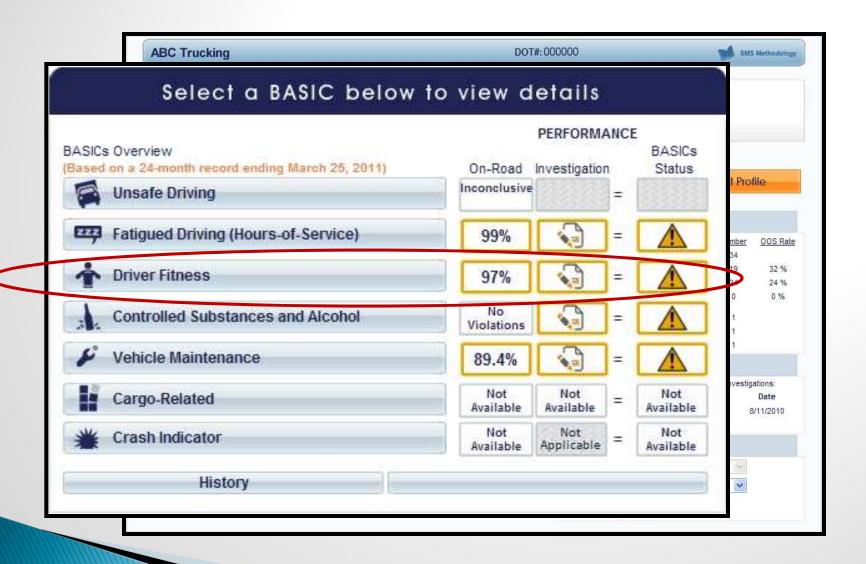
SMS Screenshots

The following slides provide examples of SMS results and data

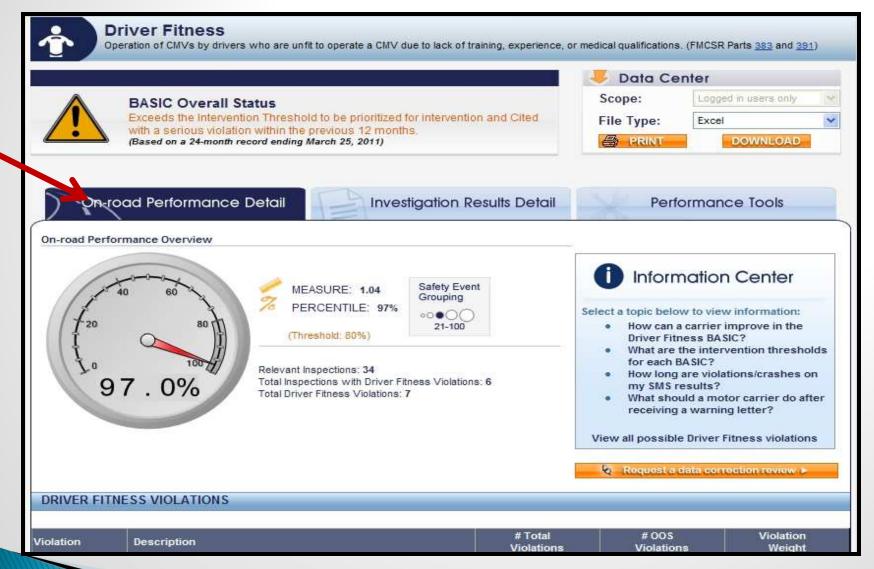
Carrier SMS Results



Carrier SMS Results (cont.)



Violation Details in SMS



Further Drilldown in SMS

Violation	Description	#Total Violations	#00S Violations	Violation Weight
383.23(a)(2)	Operating a CMV without a CDL	2	2	8
83.51(a)	Driving a CMV (CDL) while disqualified	4	4	8
91.11(b)(5)	Driver lacking valid license for type vehicle being operated	1	1	8
91.41(a)	Driver not in possession of medical certificate	7	0	1
391.45(b)	Expired medical examiner's certificate	8	0	1

Further Drilldown in SMS

INSPECTION HISTORY

Inspection Filter Options: O Relevant Inspections O Inspections with violations

Report				Vehicle			Measure			
	Inspection Date	#	ST	Plate #	Lic ST	Туре	Severity Weight (A)	Time Weight (B)	Time Severity Weight (AxB)	
1	10/8/2010					Straight Truck	10	3	30	
	Violation: 383.51	(a) Driving a CMV (CDL	.) while disqu	alified (OOS)			10	=8 (viol weight) + 2 ((00S)	
2	9/21/2010					Truck Tractor	10	3	30	
	Violation: 383.23	(a)(2) Operating a CMV	/without a C	DL (00S)			10	=8 (viol weight) + 2 ((00S)	
з	8/24/2010					Truck Tractor	1	3	3	
	Violation: 391.41	(a) Driver not in possess	sion of medic	al certificate	(Non-008)		1			
4	7/21/2010					Straight Truck	1	3	3	
	Violation: 391.45	(b) Expired medical ex	aminer's cert	ificate (Non-O	os)		1			
5	7/14/2010					Truck Tractor	1	3	3	
	Violation: 391.41	(a) Driver not in possess	sion of medic	al certificate	(Non-008)		1			
6	7/13/2010					Truck Tractor	1	3	3	
	Violation: 391.41	(a) Driver not in possess	sion of medic	al certificate	(Non-008)	_	1			
7	6/9/2010					Truck Tractor	1	3	3	
	Violation: 391.45	(b) Expired medical ex	aminer's cert	ificate (Non-O	OS)		1			
8	6/8/2010					Straight Truck	1	3	3	
	Violation: 391.45	(b) Expired medical ex	aminer's cert	ificate (Non-O	OS)		1			

What About Individual Drivers?

Individual Drivers FAQs

- Is FMCSA assigning safety ratings to individual CMV drivers?
 - No
- What is the Driver Safety Measurement System (DSMS)?
 - Internal enforcement tool used during motor carrier investigations
 - Results not available to employing motor carriers or public

Individual Driver FAQs

- What is the Pre-Employment Screening Program (PSP)?
 - Mandated by Congress irrespective of CSA 2010 effort
 - Screening tool that allows motor carriers and drivers to purchase inspection and crash history
 - 5 years crashes, 3 years inspections
 - No rating or DOT assessment
 - Requires driver consent
 - http://www.psp.fmcsa.dot.gov
- Does a driver's violation history at a previous employer impact a carriers Safety Measurement System (SMS) evaluation?
 - No

The Roadside Inspection Violation Lifecycle

Pre-Employment Screening Program (PSP) for the driver



	Driver Information														
Last Name First Name						License #			State						
LASTNAME1085720 ALLEN				LICENSE930195			GA								
	Crash Activity (5 year history from MCMIS snapshot date)														
Cras	Crash Summary														
# of Crashes: 2 # of Crashes with Fatalities: 0 # of Crashes with Injuries: 1							# of Tov	vaways: 2							
					# of Fata	dities:	ities: 0 # of Injuries: 1				į	# of Hazmat Releases: 0			
Cras	sh Details					·									·
	Date	te DOT# Carrier Name Driver Name Driver Lic State Driver		Driver DOB	Rpt St	Report	Number	Location	# Fatalities	# Injuries					
1	10/13/2009	2845720			Last Name 1085720, ALLEN	LICENSE930195		GA	08/20/1973	GA	GA9508	046001	GA 11	0	1
2	01/23/2008	1809698			Last Name 1085720, ALLEN	LICENSE930195		GA	08/20/1973	GA	GA8032	014401	I 285 SB EXPY NW	0	0

PSP Detailed Report

Federal Motor Carrier Safety Administration

Driver Information									
Last Name	First Name	License #	State						
LASTNAME1085720	ALLEN	LICENSE930195	GA						

Inspection Activity (3 year history from MCMIS snapshot date)

Inspection Summary

Driver Summary	Vehicle Summary		Hazmat Summary		
Driver Inspections:	1	Vehicle Inspections:	4	Hazmat Inspections	0
Driver Out-of-service Inspections:	1	Vehicle Out-of-service Inspections:	0	Hazmat Out-of-service Inspections:	0
Driver Out-of-service Rate:	14%	Vehicle Out-of-service Rate:	0%	Hazmat Out-of-service Rate:	0%

MCMIS snapshot date: 12/01/2010

Violation Summary

Violation #	Description	# of Violations	# of Out-of-service Violations
395.3(a)(1)	11 hour rule violation (Property)	1	0
395.3(a)(2)	14 hour rule violation (Property)	1	0
395.8	Log violation (general/form and manner)	1	0

The summary counts and rates do not include violations that were a result of the crash. The summary counts and rates for the co-driver only include violations that were charged to the co-driver. Summary counts and rates for the primary driver do not include violations charged to the co-driver.

Report executed at: 2/28/2011 2:30:24 PM

MCMIS snapshot date: 12/01/2010

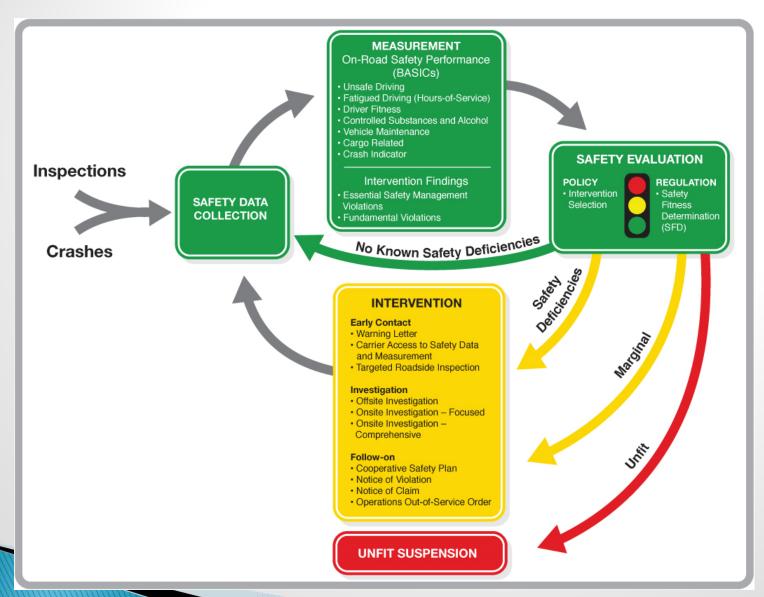
* Violation charged to co-driver ** Post crash violation Page 2 of 2

CSA Elements

- Safety Measurement System
 - Carriers 24 months of carrier on-road performance
 - Drivers 36 months of driver on-road performance
- 2. Intervention Tools
- 3. Safety Rating
- 4. Information Technology



CSA Operational Model



BASICs

Behavioral
Analysis &
Safety
Improvement
Categories

Unsafe Driving (Parts 392 & 397)

Fatigued Driving (HOS) (Parts 392 & 395)

Driver Fitness (Parts 383 & 391)

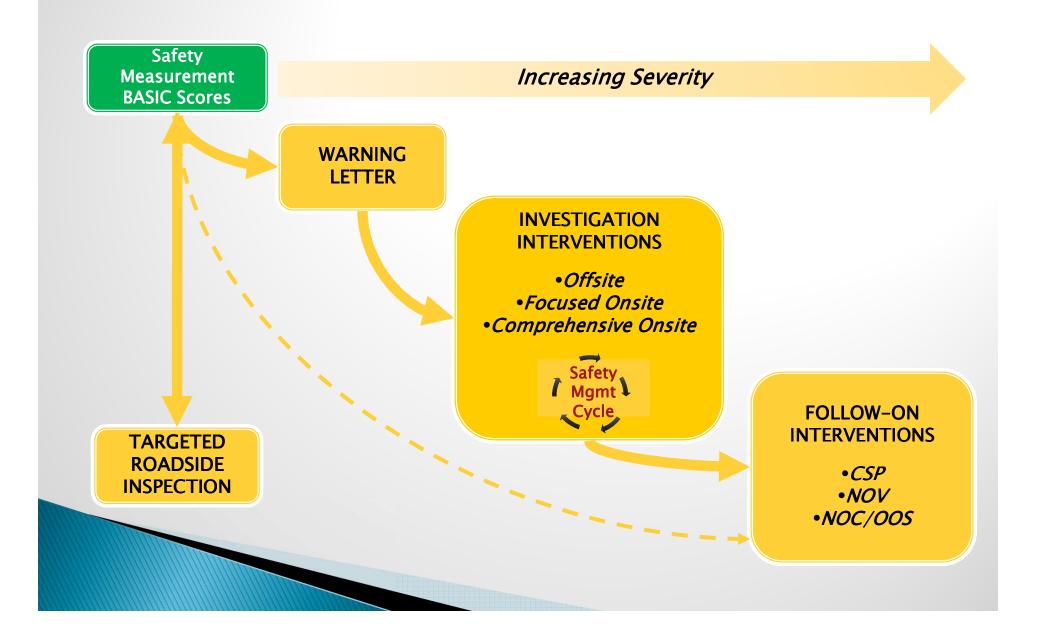
Controlled Substances/Alcohol (Part 392)

Vehicle Maintenance (Parts 393 & 396)

Cargo Related (Parts 392, 393, 397 & HM Violations)

Crash Indicator

CSA Progressive Intervention Tools



CSA Warning Letter

This SAMPLE warning letter is the version being mailed to motor carriers during the CSA 2010 Operational Model Test as of January 1, 2010. The language in the warning letter may be revised in the future.



U.S. Department of Transportation Federal Motor Carrier Safety Administration 1200 New Jersey Ave., S.E. Washington, D.C. 20590

Month, date, year

In reply, refer to: USDOT Number: [DOT NUMBER] PIN: [Carrier PIN]

[Carrier Name] [Carrier Address] [Carrier Address]

Dear Motor Carrier:

A review of your company's motor carrier safety data suggests the performance of [Carrier Name] to be unacceptable in the area(s) of [Failed BASICs List]. Your company's safety data record shows a lack of compliance with motor carrier safety rules and regulations. The purpose of this letter is to inform you of your company's current safety deficiencies, explain how you can view your safety record, correct if it contains erroneous data and describe what actions may be taken in the future if your safety performance does

This review was based on the roadside inspection and crash performance of ICarrier Namel, Based upon this review, the Federal Motor Carrier Safety Administration (FMCSA) has opened a case file regarding your company and will continue to assess the regulatory compliance of [Carrier Name]. Your performance will also be evaluated via roadside inspections that focus on the deficient area(s). Failure to improve your company's safety performance will result in further investigation of your safety management practices. which may include requests for additional data through offsite or onsite investigations.

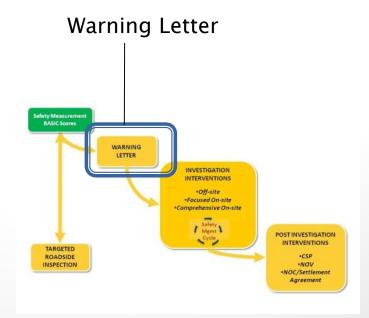
You are encouraged to review your company's record at the following website: TBD. This website also contains instructions for requesting corrections to information that you believe to be incorrect. If you have difficulties accessing the web site, please call (XXX) XXX-XXXX.

We urge you to take seriously this warning letter, and we are confident that you will take appropriate steps to improve your safety record. However, if we see continued poor safety performance by your company, there may be future enforcement actions taken against your company.

You can find information about motor carrier safety rules and regulations on the FMCSA website: http://www.fmcsa.dot.gov/. If you have additional questions regarding this matter, please call our division office for your state of domicile at [Division Office Phone number].

Sincerely,

John Van Steenburg Director, Office of Enforcement and Compliance



BASICs Requiring Investigation

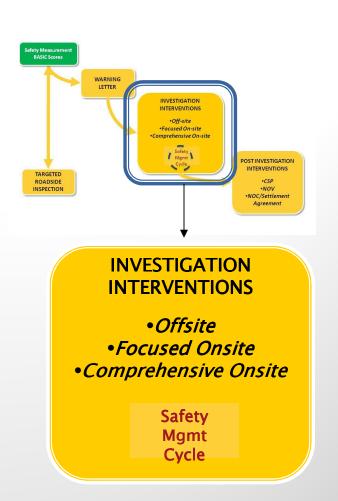
- Any BASIC exceeding the threshold (percentile) OR
- Any BASIC with a Serious Violation on the most recent investigation
 OR
- BASICs associated with a complaint*
- *Unless already investigated within the last 12 months and recent performance indicates no issue

Comprehensive or Focused Investigation?

- Comprehensive Investigation Required
- 4 or more BASICs requiring investigation
- Mandatory New Entrants
- Passenger carriers > 12 months since investigation
- HM Safety Permit without Satisfactory Fitness Rating
- Unsatisfactory Fitness Rating issued prior to 2001
 - Focused Investigation Required
 - 1 or 2 BASICs requiring investigation
- At Division Discretion
- •3 BASICs requiring investigation (recommended Focused)
- At or above threshold in Crash BASIC only

CSA Carrier Investigations

- Shifting from 1 CR to 3 Investigation Options
 - Offsite
 - Focused Onsite
 - Comprehensive Onsite
- Staying focused on above threshold BASICs
- Managing multiple
- investigations open at once



Driver Emphasis

- Red Flag violations
 - Highlighted to investigators
 - Must document investigative outcome
- Use Driver Safety
 Measurement System (DSMS)
 results for sampling selection



Crash BASIC Investigation (CBI)

- An onsite in-depth examination of a carrier's recent reportable crash events
- Concentrates on drivers and vehicles involved in crashes
- CBI Crash Analysis Toolkit

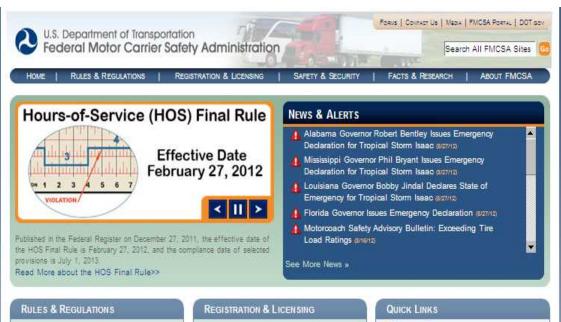


SAFER Database

SAFER uses carrier information from existing government motor carrier safety data bases. Presently, it consists of interstate carrier data and several states' intrastate data, and interstate vehicle registration data.
 Operational data such as inspections and crashes are generally only presented for interstate carriers, but plans are to include them for the intrastate carriers at a later time.

...cont

- The SAFER system includes the capability to provide carrier, vehicle, and driver safety and credential information to fixed and mobile roadside inspection stations and SAFETYNET installations. This information allows the roadside inspector to select vehicles and/or drivers for inspection based on the number of prior carrier inspections, as well as carrier, vehicle, and driver safety and credential historical information.
- The SAFER system supports electronic screening of commercial vehicles in order to allow Law Enforcement personnel to focus their efforts on vehicles most likely to fail inspection.







EVENTS & WEBINARS Alliance of Hazardous Materials... Seo 8-13, 2012 | Anchorage, AK

More Rules & Regulations »

Brake Safety Week 2012 Sep 9-15, 2012 |

2012 CVSA Annual Conference & Exhibition

Medical Program



More Registration & Licensing »

PROGRAMS & TOPICS

- Audio/Podicasts New
- Bus & Passenger Carrier Safety
 VIDEO: National Motorcoach Safety Summit
- Chief Counsel
- · Civil Rights New
- Commercial Driver's License (CDL)

U.S. Department of Transportation Federal Motor Carrier Safety Administration A&I Online: Safety Measurement System

A&I LOGIN | CONTACTS | FEEDBACK | FMCSA.GOV | FMCSA PORTAL | DOT.GOV

Search All FMCSA Sites

A&I SITES V

SMS HOME

CARRIER SEARCH

REPORTS

DOWNLOADS



INFORMATION CENTER

SMS Home

Welcome to the Motor Carrier Safety Measure



What is SMS

The Motor Carrie System (SMS) is State partners to performance.

Learn more in t

Learn about the Safety Improven



SEARCH FOR MOTOR CARRIER SMS MONTHLY RESULTS:

(Based on a 24-month record ending December 17, 2010)

100	100					-	
	200		PR 1		ST 10	•	48.
	- 300	м	S	100		ш	
Die.	-000				100		т.
NOTE:		100	THE R				DOM:

100	200			WX.
	76.		800	900
	- 31		16	
	-41	ш	m.	Оι.
100	-		100	-0.0

MOTOR CARRIERS

FMCSA & STATE PARTNERS

See where you stand.



Review your SMS results and take action to make the roads safer for everyone.

Login to SMS to view additional details of the SMS.

Motor Carrier L



Click Here to log in and Motor Carrier Ply Number

Click Here to log in via the FMCSA Portal.

Advanced Search



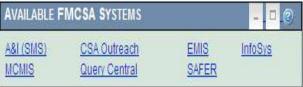
receiving a warning letter?

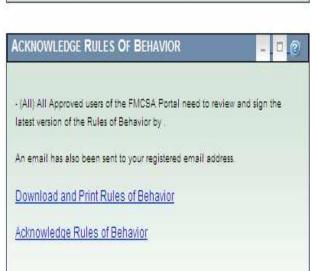
A warning letter provides Motor Carriers with early notification of potential safety



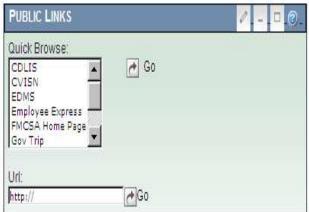
Log Out | Change Password | Help

HOME ASSIGNMENTS → ACCOUNT MANAGEMENT → COMPANY VIEW REPORTS/QUERIES → FEEDBACK









Feedback | Privacy Policy | USA.gov | Freedom of Information Act (FOIA) | Accessibility | OIG Hotline | Web Policies and Important Links | Site Map | Plug-ins



Federal Motor Carrier Safety Administration
1200 New Jersey Avenue SE, Washington, DC 20590 • 1-800-832-5660 • TTY: 1-800-877-8339 • Field Office Contacts

Application Release Date: March 24, 2012, 3:00 AM EDT, Version: 4.4.1.8

Company Snapshot

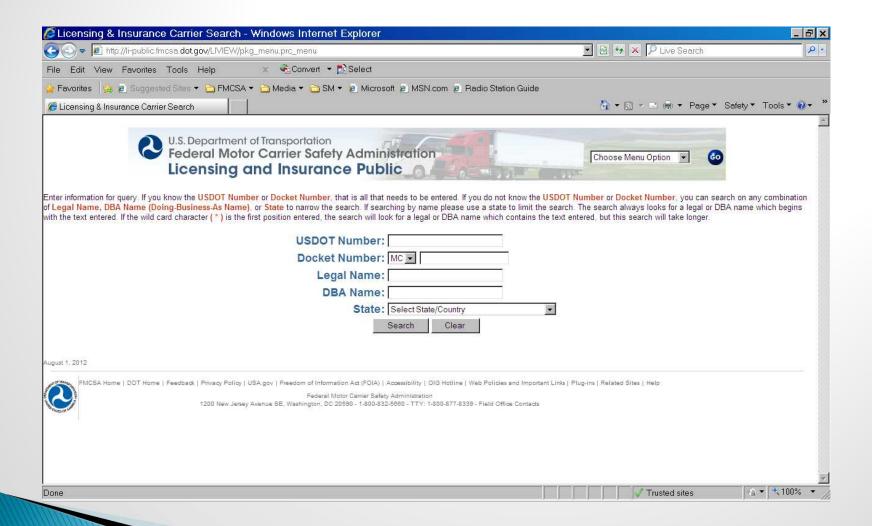


Company Snap shot

- General information
 - Legal name
 - Address
 - Telephone numbers
 - USDOT#, MX and MC
 - Operation
 Classification
 (Private, for hire)
 - Carrier Operation (Interstate/Intrastate)
 - Cargo Carried

- Number of inspections
- Crashes including # of injuries, fatalities and tow-aways.
- Safety Rating if available

Licensing and Insurance Public



Licensing & Insurance

- http://li-public.fmcsa.dot.gov/
- Current Authority Status
 - Active
 - Revoke
 - Private
 - For Hire (property)
 - Passenger
 - Household Goods
 - Enterprise
- Authority History
- Revocations

- Insurance information:
 - Insurance type
 - Insurance Required
 - Insurance on file
 - Active/Pending Insurance
 - Rejected Insurance
 - Insurance History
- Passenger Carrier
 - \$1,500,000.00 up to \$5,000,000.00
- General Freight
 - \$750,000.00
- Hazardous Materials
 - Depending on the Type of Hazardous Materials they will be transporting
 - \$1,000,000 up to 5,000,000.00

SAFER

- FMCSA Services
- FMCSA Registration & Updates
- Company Safety Profile
- Company Safety Profile Subscription
- Online Fine Payment
- MCMIS Data Dissemination Catalog
- SAFER Information
- About SAFER
- SAFER News
- Company Snapshot Updates
- Frequently Asked Questions

FMCSA Searches

- Company Snapshot
- Analysis & Information (A&I) Online
- <u>Licensing & Insurance</u>
- Orders to Cease Operation (OCO)/
 Out of Service Orders (OSO)
- Cargo Tank
- Crash Rate & Inspection Out of Service (OOS) Rates for Hazardous Materials Safety Permit Program

Where can we get the data?

- The public has assess to this information via the following websites:
 - Safer http://safer.fmcsa.dot.gov/
 - Company Snap Shot– http://safer.fmcsa.dot.gov/CompanySnapshot.aspx
 - L&I http://li-public.fmcsa.dot.gov
 - Safety Measurement System (SMS Online)http://ai.fmcsa.dot.gov/SMS/Default.aspx
 - A & I http://ai.fmcsa.dot.gov/
- Access to these website can be obtained through our main web page: www.fmcsa.dot.gov

Other FMCSA Web Sites

- FMCSA Registration Forms
- Unified Carrier Registration (UCR)
- Shipper Verification of Carrier Hazardous Material Safety Permit (HMSP)
- FMCSA Home Page
- DataQs
- FMCSA Information Systems
- National HM Route Registry
- ITS CVISN
- FMCSA Portal

Thank you

Be Safe Out On The Road!





